ALZ5045/ARC3307 R1

Please type a plus sign (+) inside this box +

PTO/SB/01 (10-00)
Approved for use through 10/31/2002 ONA 0654 0622

Under the Paperwo	ork Reduction Act of 1995, no pers	ons are required (itent and Trademark C	ffice; U.S. DEPARTMENT OF COMMERCE less it contains a valid OMB control number.	
DEC	LARATION	•		cket Number	ALZ5045/ARC3307R1	
POWER	AND POWER OF ATTORNEY		First Named	-	Guohua Chen	
	LITY OR DESIGN		Thotramod		E IF KNOWN	
	CFR 1.63)		Application I	Number	60/424,428	
☐ Declaration Submitted wit Initial Filing	th Declaration Sub OR Initial Filing (Su (37 CFR 1.16(e)	ırcharge	Filing Date		November 6, 2002	
	(37 OFN 1.10(e)	i) required)	Group Art U	nit	n/a	
			Examiner N	ame	n/a	
As a below named invento	or, I hereby declare tha	t:				
My residence, mailing addre I believe I am the original, fil plural names are listed below entitled:	rst and sole inventor (if o	only one nam	ne is listed belo	ow) or an origina		
	CONTROLLED R	RELEASE D		MULATIONS		
the specification of which						
is attached hereto						
OR						
was filed on (MM/DD/Y	YYY) as United anded on (MM/DD/YYYY)		cation Number	r or PCT Interna	tional Application Number	
I hereby state that I have revamended by any amendmen			of the above i	dentified specifi	cation, including the claims, as	
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.						
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.						
Prior Foreign Application Number(s)	Country		Filing Date D/YYYY)	Priority Not Claimed	Certified Copy d Attached? YES NO	

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:



DECLAF	RATION - Utility or Design Patent Ap	plication					
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.							
Application Number(s)	Filing Date (MM/DD/YYYY)	ppinoacion(o) notes poiem					
60/424,428	November 6, 2002	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.					
as the subject matter of each of the claims provided by the first paragraph of Title 35, defined in Title 37, Code of Federal Regula national or PCT international filing date of t		r United States application in the manner e duty to disclose material information as filing date of the prior application and the					
Application Serial No.	Filing Date	Status					
		Patented Patented Patented					
I hereby appoint:		Place Customer					
Practitioners at Customer Number	Number Bar Code Label Here						
AND							
Practitioner(s) named below: Name Angela Nwaneri Samuel E. Webb Registration Number 34,229 44,394 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.							
Address all telephone calls to Samuel	E. Webb, Esq. at telephone number (73	2) 524-5106.					
	mer Number Co de Label 000027777 OR	☐ Correspondence address below					
Name:							
Address:							
Address:							
City:	State:	ZIP					
Country	Telephone:	Fax:					

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:	☐ A pe	tition has been fi	led for this unsign	ed inventor			
Given Name (first and middle [if any]) Guohua		Family Name or Surname	Chen				
Inventor's Signature Con School	2		Date (O	129/03			
Residence: City Sunnyvale	State CA	Coun	try U.S.A.	Citizenship U.S.A.			
Mailing Address 399 Sunset Avenue							
City Sunnyvale	State CA	ZIP	94086	Country U.S.A.			
I hereby declare that all statements mad information and belief are believed to be that willful false statements and the like U.S.C. 1001 and that such willful false s issued thereon.	true; and further so made are puni	that these sta	tements were	made with the knowledge ent, or both, under 18			
NAME OF SECOND INVENTOR:	☐ A pe	tition has been fi	led for this unsign	ed inventor			
Given Name (first and middle [if anv]) Paul Family Name or Surname Houston							
(first and middle [if any]) Paul		or Surname	Houston				
	<u> </u>	•		29-2003			
(first and middle [if any]) Paul	State CA	or Surname		29 - 2003 Citizenship U.S.A.			
(first and middle [if any]) Paul Inventor's Paul Houte	State CA	or Surname	Date /O-				
(first and middle [if any]) Paul Inventor's Signature House Residence: City Hayward Mailing Address 3889 Blackstone CT City Hayward	State CA	Count	Date /O	Citizenship U.S.A. Country U.S.A.			
(first and middle [if any]) Paul Inventor's Signature Adult Residence: City Hayward Mailing Address 3889 Blackstone CT	State CA e herein of my ov true; and further so made are puni	Count ZIP S vn knowledge that these sta shable by fine	Date /O - try U.S.A. 94542 are true and the tements were to or imprisonme	Country U.S.A. at all statements made on made with the knowledge ent, or both, under 18			
Inventor's Signature Residence: City Hayward Mailing Address 3889 Blackstone CT City Hayward I hereby declare that all statements madinformation and belief are believed to be that willful false statements and the like: U.S.C. 1001 and that such willful false st	State CA e herein of my ov true; and further so made are puni atements may je	Count ZIP S vn knowledge that these sta shable by fine opardize the v	Date /O - try U.S.A. 94542 are true and the tements were to or imprisonme	Country U.S.A. at all statements made on made with the knowledge ent, or both, under 18 oplication or any patent			
Inventor's Signature Residence: City Hayward Mailing Address 3889 Blackstone CT City Hayward I hereby declare that all statements madinformation and belief are believed to be that willful false statements and the like U.S.C. 1001 and that such willful false sissued thereon.	State CA e herein of my ov true; and further so made are puni atements may je	Count ZIP S vn knowledge that these sta shable by fine opardize the v	Date /O - try U.S.A. 94542 are true and the tements were to or imprisonme validity of the approximation of the	Country U.S.A. at all statements made on made with the knowledge ent, or both, under 18 oplication or any patent			
Inventor's Signature Residence: City Hayward Mailing Address 3889 Blackstone CT City Hayward I hereby declare that all statements madinformation and belief are believed to be that willful false statements and the like U.S.C. 1001 and that such willful false sissued thereon. NAME OF THIRD INVENTOR:	State CA e herein of my ov true; and further so made are puni atements may je	ZIP Some vivial country of the vivial countr	Date /O - try U.S.A. 24542 are true and the temperature were evaluated for the approximation of the approximati	Country U.S.A. at all statements made on made with the knowledge ent, or both, under 18 oplication or any patent			
Inventor's Signature Residence: City Hayward Mailing Address 3889 Blackstone CT City Hayward I hereby declare that all statements madinformation and belief are believed to be that willful false statements and the like: U.S.C. 1001 and that such willful false sissued thereon. NAME OF THIRD INVENTOR: Given Name (first and middle [if any]) Roy Inventor's	State CA e herein of my ov true; and further so made are puni atements may je	ZIP Son knowledge that these sta shable by fine opardize the vitition has been fill Family Name or Surname	Date /O - try U.S.A. 24542 are true and the temperature were evaluated for the approximation of the approximati	Country U.S.A. Country U.S.A. at all statements made on made with the knowledge ent, or both, under 18 oplication or any patent ed inventor			
Inventor's Signature Residence: City Hayward Mailing Address 3889 Blackstone CT City Hayward I hereby declare that all statements madinformation and belief are believed to be that willful false statements and the like: U.S.C. 1001 and that such willful false sissued thereon. NAME OF THIRD INVENTOR: Given Name (first and middle [if any]) Roy Inventor's Signature	state CA e herein of my ov true; and further so made are puni atements may je	ZIP Son knowledge that these sta shable by fine opardize the vitition has been fill Family Name or Surname	Date /O try U.S.A. 94542 are true and the stements were to or imprisonmeralidity of the application of the application of the property of	Country U.S.A. at all statements made on made with the knowledge ent, or both, under 18 oplication or any patent ed inventor			

information and be that willful false sta	hat all statements made elief are believed to be tatements and the like s that such willful false sta	true; and further so made are pun	r that thes nishable b	se stat	atements were i	made with the ent, or both,	he knowledge under 18
NAME OF SOLE OR I	FIRST INVENTOR:	A pr	etition has t	been fil	led for this unsigne	ed inventor	
Given Name (first and middle [if a	any]) Teresa		Family N		Kameda		
Inventor's Signature	rem Kameda	<u></u>			Date 10/3	0/03	
Residence: City St	unnyvale	State CA		Count	try U.S.A.	Citizenship	U.S.A.
Mailing Address 10	067 Lois Avenue						
City St	unnyvale	State CA		ZIP	94087	Country	U.S.A.
information and be that willful false sta	hat all statements made elief are believed to be tatements and the like s that such willful false sta	true; and further so made are pun	r that thes nishable b	se stat by fine	itements were i	made with then and, or both,	he knowledge under 18
NAME OF SECOND II	INVENTOR:	☐ A pe	etition has t	been fil	led for this unsigne	ed inventor	
Given Name (first and middle [if a	any]) David		Family Na		Priebe		
Inventor's Signature					Date		
Residence: City Se	eattle	State WA		Count	try U.S.A.	Citizenship	U.S.A.
Mailing Address 125	5 NorthEast 63rd Stree	et					
	eattle	State WA		ZIP 9			U.S.A.
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF THIRD INV	/ENTOR:	A pe	etition has b	oeen fil	ed for this unsigne	ed inventor	
Given Name (first and middle [if ar	ɪny]) Lothar		Family Na		Kleiner		
Inventor's Signature					Date		
Residence: City Lo	os Altos	State CA		Count	ry U.S.A	Citizenship	U.S.A
Mailing Address 29	95 Los Altos Court						
City Lo	os Altos	State CA		7IP 9	4022	Country	USA

information and that willful false		true; and further so made are pun	that these sta	atements were i e or imprisonme		
NAME OF SOLE O	OR FIRST INVENTOR:	A pe	tition has been f	iled for this unsigne	ed inventor	
Given Name (first and middle [if anyj) Teresa		Family Name or Surname	Kameda		
Inventor's Signature				Date		
Residence: City	Sunnyvale	State CA	Coun	try U.S.A.	Citizenship U.S.A.	
Malling Address	1067 Lois Avenue					
City	Sunnyvale	State CA	ZIP	94087	Country U.S.A.	
information and that willful false	belief are believed to be statements and the like d that such willful false s	true; and further so made are pun	that these statishable by find	atements were i e or imprisonme		
NAME OF SECON	D INVENTOR:	☐ A pe	tition has been f	iled for this unsigne	ed inventor	
Given Name (first and middle	if any]) David		Family Name or Surname	Priebe		
Inventor's Signature	7=1).60	1		Date 10	14103	
Residence: City	Seattle	State WA	Coun	try U.S.A.	Citizenship U.S.A.	
Mailing Address	125 NorthEast 63 rd Stre	et				
City	Seattle	State WA	ZIP	98115	Country U.S.A.	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF THIRD	INVENTOR:	☐ A pe	tition has been f	iled for this unsign	ed inventor	
Given Name (first and middle	if anyj) Lothar		Family Name or Surname	Kleiner		
Inventor's Signature				Date		
Residence: City	Los Altos	State CA	Coun	itry U.S.A	Citizenship U.S.A.	
Mailing Address	295 Los Altos Court					
City	Los Akos	State CA	ZIP	94022_	Country U.S.A	

I hereby declare that all statements mainformation and belief are believed to that willful false statements and the lik U.S.C. 1001 and that such willful false issued thereon.	De true; and further	and dealth istill	or imorlsonme	nt or both, under 18			
NAME OF SOLE OR FIRST INVENTOR:	☐ A pe	tition has been fi	led for this unsigne	d inventor			
Given Name (first and middle [if any]) Teresa		Family Name or Sumame	Kameda	:			
Inventor's Signature			Date	:			
Residence: City Sunnyvale	State CA	Coun	try U.S.A.	Citizenship U.S.A.			
Malling Address 1067 Lois Avenue							
City Sunnyvale	State CA	ZIP	94087	Country U.S.A.			
I hereby declare that all statements me information and belief are believed to	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent						
NAME OF SECOND INVENTOR:	□Ар	etition has been t	filed for this unsign	ed inventor			
Given Namo (first and middle [if eny]) David		Family Name or Surname	Priebe				
Inventor's Signature			Date				
Residence: City Seattle	State WA	Cou	ntry U.S.A.	Citizenship U.S.A.			
Mailing Address 125 NorthEast 63rd 5	Street		. 				
city Seattle	State WA	ZIP	98115	Country U.S.A.			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF THIRD INVENTOR:		petition has been	filed for this unsign	ed inventor			
Given Namo (first and middlo [if any]) Lothar		Family Name or Surname	Kleiner_				
Inventor's Lother 7	leiner	- 1	Date Oc	+ 30, 2003			
Residence: City Los Altos	State CA	Cou	intry U.S.A	Citizenship U.S.A.			
Mailing Address 295 Los Altos Cou	rt						
City Los Altos	State CA	ZIP	94022	Country U.S.A			

DOCKET NO. ARC 3307 P1 / ALZ5045

DOCKET NO. ALZ5045/ARC 3307P1

Joint Inventors

ASSIGNMENT

Serial No.

60/424,428

Filed

November 6, 2002

WHEREAS.

(1)	Guohua Chen	(2)	Paul Houston
(3)	Roy Bannister	(4)	Teresa Kameda
(5)	David Priebe	(6)	Lothar Kleiner
(1)	respectively residing at: 399 Sunset Ave., Sunnyvale, CA 94086	(2)	3889 Blackstone Ct., Hayward CA 94542
(3)	2090 Nora Dr., Hollister, CA 95023	(4)	1067 Lois Ave., Sunnyvale, CA 94087
(5)	125 NorthEast 63 rd Street	(6)	295 Los Altos Court, Los Altos, CA 94022
İ	Seattle, Washington, 98115	_	

(hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

CONTROLLED RELEASE DEPOT FORMULATIONS

which is described in the United States Provisional Application s/n 60,424,428 filed on November 6, 2002 for Letters Patent of the United States;

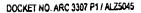
and

WHEREAS, ALZA Corporation, a corporation of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and relssues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;



And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, we have each hereunto set our hands.

Guohua Chen	this toth day of October	2003; and
STATE OF CALIFORNIA COUNTY OF SANTA CLARA)).	م م
On OC+ 10 2003 befo	re me, Lois F. Miller, a Notary Public	·

personally appeared, Guohua Chen, personally known to me (or proved to me on the basis of settsfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he be executed the same in his had authorized capacity, and that by his had signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(SEAL)

LOIS E. MILLER
Commission # 1288486
Notary Public - California
Santa Clara County

- 4

_ (----

bul Houston this 16 day of October 2003; and Paul Houston
STATE OF CALIFORNIA) COUNTY OF SANTA CLARA)
On Oct 16 2003, before me, Lois E, Miller, a Notary Public
personally appeared, Paul Houston, personally known to me (ar proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
Commission • 1268486 . Notary Public - Colliania . Santa Clara County . Notary Public Signature . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania . Notary Public - Colliania . Notary Public - Colliania . Santa Clara County . Notary Public - Colliania .
My California and Cal
; ;
Rey Rowsat this 16th day of Ooksber 2003.
Ray Bannister
STATE OF CALIFORNIA) COUNTY OF SANTA CLARA)
On Oct 16 2003, before me, Lols E. Miller, a Notary Public
personally appeared, Roy Bannister, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he were executed the same in his her authorized capacity, and that by his has signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
NOTARY PUBLIC SIGNATURE (SEAL) (SEAL) NOTARY PUBLIC SIGNATURE (SEAL) NOTARY PUBLIC SIGNATURE (SEAL) NOTARY PUBLIC SIGNATURE (SEAL)

OCKET NO. ARC 3307 P1 / ALZ5045				
Tras Hameda	_this14	day of	October	2003; and
Teresa Kameda				
TATE OF CALIFORNIA) COUNTY OF SANTA CLARA)				•
on <u>Oct 14 ,2003,</u> before m	e, <u>Lois E. Mill</u>	er, a Notary Po	ıblic	
ersonally appeared, Teresa Kamed alistectory evidence) to be the personal to the she executed the instrument the executed the instrument.	on whose nai cuted the sar	me is subscrib me in his/her)	ed to the within authorized capa	n instrument and city, and that by
ITNESS my hand and official seal.			TOR E WI	LLER
fa & The	_ (SEAL)		Commission # Notary Public - (Sonto Clara (Collionée É
NOTARY PUBLIC SIGNATURE		1	My Comm. Exples D	SC 25 204
:				
:				
		İ		
	•			2002
David Priebe	this	day of		2003.
David Priebe				,
TATE OF CALIFORNIA) OUNTY OF SANTA CLARA .)				
	18.			_ a Notary Public
ersonally appeared, David Priebe , atisfactory evidence) to be the person ciknowledged to me that he/she exes/her signature on the instrument the secuted the instrument.	on whose na cuted the sai	me is subscrit me in his/her	sed to the withi authorized capa	n instrument and icity, and that by
TITNESS my hand and official seal.				
	_ (SEAL)			
NOTARY PUBLIC SIGNATURE				
1			•	b. b

DOCKET NO. ARC 3307 P1 / ALZ5045 2003; and Teresa Kameda STATE OF CALIFORNIA COUNTY OF SANTA CLARA 2003, before me, Lois E. Miller, a Notary Public personally appeared, Toresa Kameda, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the Instrument the person, or the entity upon behalf of which the person acted. executed the Instrument. WITNESS my hand and official soal. (SEAL) NOTARY PUBLIC SIGNATURE **David Priebe** WASHINGTO N STATE OF CALIFORNIA COUNTY OF SANTA CLARA KINL 2003, before me, personally appeared, David Priebe, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal. NOTARY PUBLIC SIGNATURE

DOCKET NO. ARC 3307 P1 / ALZ5045				
Lother W. Rleiner Lother Kleiner	_this	ہور day of	October	2003; and
STATE OF CALIFORNIA) COUNTY OF SANTA CLARA)				
On Oct. 14 2003 before me	e, <u>L</u> 075	E	Miller	a Notary Public
personally appeared. Lothar Kleiner satisfactory evidence) to be the personal acknowledged to me that he side executed the instrument.	on whose nam cuted the sam	e in his	scribed to the will Mer authorized car	hin instrument and pacity, and that by
WITNESS my hand and official seal.			LOIS E.	
NOTARY PUBLIC SIGNATURE	_ (SEAL)		Commission Notary Public Santa Clan My Cornin, Bate	- California &
NOTARY FOREIG GIGHTICAL		1		